Resident Opportunity and Self-Sufficiency (ROSS) Program

Resident Services Delivery Models-Family

Resident Services Delivery Models-Elderly/Persons with Disabilities

Homeownership Supportive Services

ROSS FY03 Presentation Outline

- **Program Highlights**
- **Primary Changes from FY02**
- **RSDM-Elderly – Program goals, eligible applicants, maximum funding amounts, eligible and ineligible activities, application design**
- **RSDM-Family – as above**

ROSS FY03 Presentation Outline

- **HSS – Program goals, eligible applicants, maximum funding amounts, eligible and ineligible activities, application design**
- **Information for all funding categories**
  - **What to include in your application**
  - **How to assemble your application**
  - **Program Requirements**
  - **Threshold Requirements**

ROSS FY03 Presentation Outline

- **Information for all funding categories (cont)**
  - Application selection process
  - Rating Factors
- **Questions & Answers**

ROSS FY03 HIGHLIGHTS

- **Application Deadlines:**
  - **RSDM-Elderly: June 11, 2003**
  - **RSDM-Family: June 19, 2003**
  - **Homeownership: July 7, 2003**

ROSS FY03 HIGHLIGHTS

- **TOTAL Funding:**
  - **RSDM-Elderly: $9,300,300**
  - **RSDM-Family: $14,345,000**
  - **Homeownership: $11,127,200**

ROSS FY03 HIGHLIGHTS

Where to send the application:

Grants Management Center, Mail Stop: *Insert Name of Program or Funding Category,* **2001 Jefferson Davis Hwy, Suite 703, Arlington, VA 22202**

ROSS FY03 HIGHLIGHTS
Primary Changes from FY02

- **Application page limit of 30 pages (not including resumes, forms and other supporting documentation such as MOUs with partners).**

- **Field office review of past performance which is a threshold requirement.**

ROSS FY03 HIGHLIGHTS
Primary Changes from FY02

- **Administrative costs are reduced from a maximum of 20% to 10%.**

- **Both eligible and ineligible activities are more clearly defined.**

ROSS FY03 HIGHLIGHTS
Primary Changes from FY02

- **Salary for Project Coordinator up to $62,500. Salary and administrative expenses may not exceed 30% of total grant amount.**

ROSS FY03 HIGHLIGHTS
Primary Changes from FY02

- **Deobligation of funds: HUD may deobligate funds if proposed activities are not initiated or completed within the required time period after the effective date of the grant. The grant agreement will spell this out in more detail.**

ROSS FY03 HIGHLIGHTS
Primary Changes from FY02

- **There is no application kit.**
- **There are fewer forms this year all of which are included in the NOFA and SuperNOFA.**

RESIDENT SERVICES DELIVERY MODELS

Elderly and Persons with Disabilities

RSDM-ELDERLY Program Goal

- **To enhance the quality of life of the elderly and persons with disabilities living in public housing by linking them to local, state, and federal services.**

RSDM-ELDERLY Eligible Applicants

- **PHAs**

- **Tribes/TDHEs**

- **Resident Organizations, Resident Associations, Resident Management Corporations, City-wide Resident Organizations**

RSDM-ELDERLY Eligible Applicants

- **National and local nonprofits, including community and faith-based organizations with expertise in this area.**

RSDM-ELDERLY
Eligible Applicants

- **NOTE: Applications from PHAs or Tribes/TDHES, ROs/RAs and nonprofit organizations targeting the same public housing developments will not be funded.**

RSDM-ELDERLY
Maximum Funding Amount

- **For PHAs with 1-217 units occupied by elderly residents and persons with disabilities the maximum award is $100,000**

- **For PHAs with 218-1,155 units occupied by elderly residents and persons with disabilities the maximum award is $200,000**

RSDM-ELDERLY
Maximum Funding Amount

- **For PHAs with 1,156 or more units occupied by elderly residents and persons with disabilities the maximum award is $300,000**

RSDM-ELDERLY
Maximum Funding Amount

- **The maximum funding amount for each RA/RO is $100,000**
- **Nonprofit entities that have resident support or the support of RAs/ROs is $100,000 for each RA/RO.**

RSDM-ELDERLY
Maximum Funding Amount

- **A nonprofit may submit a single application for no more than three RAs/ROs from the same PHA for a maximum grant amount of $300,000**

- **Nonprofits may submit more than one application provided they target residents of distinct PHAs**

RSDM-ELDERLY Eligible Activities

- **Hiring of a Project Coordinator who will:**
  - **Assess participating residents' needs for supportive services**
  - **Design and coordinate grant activities based on residents' needs**
  - **Monitor progress of participating residents and evaluate the program**

RSDM-ELDERLY Eligible Activities

- **Coordination and set-up of meal services;**
- **Coordination and set-up of transportation services;**
- **Wellness programs including health and nutrition programs, preventive health education, referrals to rehabilitation services for the disabled;**
- **Assistance with daily activities;**

RSDM-ELDERLY Eligible Activities

- **Personal emergency response;**
- **Congregate services—includes supportive services that are provided in a congregate setting at a conventional public housing development;**
- **Case management; and**
- **Administrative costs.**

RSDM-ELDERLY Ineligible Activities

- **Service Coordinator salary and fringe benefits;**
- **Payment of salaries/wages to doctors, nurses or other staff in relation to medical services provided to residents;**
- **Payment of salaries/wages to participants;**

RSDM-ELDERLY Ineligible Activities

- **Purchase of food;**
- **Purchase of prescription and/or non-prescription drugs;**
- **Purchase or rental of land or vehicles;**

RSDM-ELDERLY Ineligible Activities

- **New construction or physical improvements; and**
- **Cost of application preparation**
- **NOTE: Grant writers are ineligible to be Contract Administrators.**

RSDM-ELDERLY Application Design

- **Applicants should design comprehensive programs that:**
  - **Link residents to services according to their needs;**
  - **Provide health and nutrition information;**

RSDM-ELDERLY Application Design

- **Applicants should design comprehensive programs that:**
  - **Provide referrals to rehabilitation and other medical services; and**
  - **Promote the well-being of elderly and disabled residents.**

RSDM-ELDERLY Application Design

- **HUD is looking for proposals that involve partnerships with other organizations. Grant applications should explain in detail:**
  - **Partners' area(s) of expertise; and**
  - **Role of the partners in the overall program**

RESIDENT SERVICE DELIVERY MODELS- FAMILY

RSDM-FAMILY Program Goal

- **To help residents of public housing obtain the skills and supportive services necessary to achieve economic self-sufficiency.**

RSDM-FAMILY Eligible Applicants

- **PHAs**
- **Tribes/TDHEs**
- **Resident Organizations, Resident Associations, Resident Management Corporations, City-wide Resident Organizations**

RSDM-FAMILY Eligible Applicants

- **National and local nonprofits, including community and faith-based organizations with expertise in this area.**

- **NOTE: Applications from PHAs or Tribes/TDHEs, ROs/RAs and nonprofit organizations targeting the same public housing developments will not be funded.**

RSDM-FAMILY
Maximum Funding Amount

- **For PHAs with 1-780 occupied conventional family public housing units, the maximum award is $250,000**

- **For PHAs with 781- 2,500 occupied conventional family public housing units, the maximum award is $350,000**

RSDM-FAMILY
Maximum Funding Amount

- **For PHAs with 2,501-7,300 occupied conventional family public housing units, the maximum award is $500,000**

RSDM-FAMILY
Maximum Funding Amount

- **For PHAs with 7,301 or more occupied conventional family public housing units, the maximum award is $1,000,000**

- **The maximum funding amount for each RA/RO is $100,000**

RSDM-FAMILY
Maximum Funding Amount

- **For nonprofit entities that have resident support or the support of RAs/ROs the maximum amount is $100,000 for each RA/RO.**

RSDM-FAMILY
Maximum Funding Amount

- **A nonprofit may submit a single application for no more than three different RA/ROs from the same PHA for a maximum grant amount of $300,000**

- **Nonprofits may submit more than one application provided they target residents of distinct PHAs**

RSDM-FAMILY Eligible Activities

- **Hiring of a Project Coordinator who will:**
  - Assess participating residents' skills and job-readiness
  - Assess participating residents' needs for supportive services
  - Market the program to residents

RSDM-FAMILY Eligible Activities

- **Project coordinator (continued)**
  - Design and coordinate grant activities based on residents' needs and the local labor market
  - Monitor participating residents' progress.

RSDM-FAMILY Eligible Activities

- **Life-skills training for youth and adults**
  - Applying for a job
  - Credit
  - Banking and money management

RSDM-FAMILY Eligible Activities

- Literacy training and GED preparation
- College preparatory classes
- Mentoring
- Real life issues such as tax forms, voter registration

RSDM-FAMILY Eligible Activities

- **Job Training, Job Search and Job Placement**
  - Skills assessment
  - Soft skills training
  - Resume writing
  - Interviewing techniques
  - Employer linkage and job placement

RSDM-FAMILY Eligible Activities

- **Post-employment follow-up**
- **Activities to Support Career Advancement and Long-term Self-Sufficiency**
  - Career advancement and planning programs
  - Individual Savings Accounts (ISAs)
  - Housing Counseling

RSDM-FAMILY Eligible Activities

- **Stipends - $200 max per month per resident with valid receipts for reasonable out-of-pocket expenses**
- **Hiring of Residents**
- **Supportive services**
  - **Information on Earned Income Tax Credit, Child Tax Credit Program, etc.**
  - **After school programs**

RSDM-FAMILY Eligible Activities

- Parenting and nutrition courses
- Healthcare information and referrals
- Administrative costs for such things as:
  - Supplies
  - Furniture
  - Local travel
  - Hiring of residents

RSDM-FAMILY
Ineligible Activities

- **Payment of wages to participants**
- **Purchase or rental of land or vehicles**
- **New construction**
- **Rehab or physical improvements**
- **Cost of application preparation.**
- **NOTE: Grant writers are ineligible to be Contract Administrators.**

RSDM-FAMILY Application Design

- **Applicants should design comprehensive programs that:**
  - **Respond to residents' needs and interests;**
  - **Deliver programs to youth and adult residents which address:**
    - **Economic self-sufficiency**
    - **Educational growth**
    - **Life skills**
    - **Job placement**
    - **Career advancement**

RSDM-FAMILY Application Design

- **HUD is looking for proposals that involve partnerships with other organizations. Grant applications should explain in detail:**
  - Partners' area(s) of expertise; and
  - Role of the partners in the overall program

HOMEOWNERSHIP SUPPORTIVE SERVICES (HSS)

HSS
Program Goal

- **To help residents of public housing who have participated in self-sufficiency training programs move from subsidized rental housing to homeownership.**

HSS
Eligible Applicants

- **PHAs**
- **Tribes/TDHEs**
- **National and local nonprofits, including community and faith-based organizations with expertise in this area.**

HSS
Eligible Applicants

- **NOTE: Applications from PHAs or tribes/TDHEs and nonprofit organizations targeting the same public housing developments will not be funded.**

HSS
Maximum Funding Amount

- **For PHAs with 1-780 occupied conventional family public housing units, the maximum award is $250,000**

- **For PHAs with 781- 2,500 occupied conventional family public housing units, the maximum award is $350,000**

HSS
Maximum Funding Amount

- **For PHAs with 2,501-7,300 occupied conventional family public housing units, the maximum award is $500,000**

HSS
Maximum Funding Amount

- **For PHAs with 7,301 or more occupied conventional family public housing units, the maximum award is $1,000,000**

- **For nonprofit entities that have resident support or the support of RAs/ROs the maximum amount is $100,000 for each RA/RO.**

HSS
Maximum Funding Amount

- **A nonprofit may submit a single application for no more than three different RA/ROs from the same PHA for a maximum grant amount of $300,000**

- **Nonprofits may submit more than one application provided they target residents of distinct PHAs**

HSS
Eligible Applicants

- **PHA applicants must either:**
  - Have a Homeownership Voucher Program; or
  - They will be required to implement one should they receive funding in this category.
  - PHA applicants will be required to offer a *minimum* of 10 Housing Choice vouchers.

HSS
Eligible Activities

- **Hiring of a Project Coordinator who will:**
  - **Assess residents' needs and design the program accordingly**
  - **Monitor participating residents' progress and evaluate the progress of the program**

HSS
Eligible Activities

- **Training on topics such as:**
  - **Asset building**
  - **Credit counseling and credit scoring**
  - **Financial literacy and management**

HSS
Eligible Activities

- Selecting a real estate broker
- Choosing a lender
- Appraisals
- Home inspections
- Avoiding delinquency and predatory lending
- Home maintenance and financial management for first-time homebuyers

HSS
Eligible Activities

- Foreclosure prevention
- Real Estate Settlement Procedures (RESPA)
- Fair housing counseling

- Individual Savings Accounts (ISAs) for:
  - Escrow accounts
  - Down payment assistance
  - Closing costs
  - 20% of grant funds can be used for ISAs.

HSS
Eligible Activities

- **Administrative Costs**
  - Supplies
  - Furniture
  - Local travel
  - Utilities

HSS
Ineligible Activities

- **Payment of wages to participants**
- **Stipends**
- **Down payment assistance**
- **Revolving loan funds**
- **Purchase or rental of land or vehicles**

HSS
Ineligible Activities

- Rehab or physical improvements
- Cost of application preparation.
- NOTE: Grant writers are ineligible to be Contract Administrators.

HSS
Program Requirements

- **Participating individuals must meet the following requirements:**
  - Have a level of income within the acceptable range of income for home purchases in the local area; and
  - Currently reside in public housing.

HSS Program Requirements

- **Participating individuals must meet the following requirements:**
  - Resident participants cannot be public housing residents and Section 8 voucher holders concurrently.

HSS
Application Design

- **Applicants should design comprehensive programs that:**
  - **Respond to residents' needs and knowledge**
  - **Build on the foundation created by previous ROSS grants or self-sufficiency efforts**

HSS Application Design

- **HUD is looking for proposals that involve partnerships with other organizations. Grant applications should explain in detail:**
  - **Partners' area(s) of expertise; and**
  - **Role of the partners in the overall program**

REQUIREMENTS FOR ALL PROGRAMS

ROSS FY03
What to Include in Your Application

- All required forms including the Logic Model

- Work plan for the three-year period of the grant

- Narrative for each rating factor

ROSS FY03
What to Include in Your Application

- **Signed MOUs, partnership agreements, letters of commitment from both applicant and other partners**

- **Clear indication of the value of the match**

ROSS FY03
How to Assemble Your Application

- **Starting on page 21536 of the Federal Register you will find a description of what to include under each TAB.**

ROSS FY03
How to Assemble Your Application

- An "Application Checklist" is also included in the Federal Register and is also available on our web site http://www.hud.gov/grants/index.cfm. This will help ensure that you are including all forms and documents in the appropriate order.

ROSS FY03 Program Requirements

- **Resident assessment**
- **Partnering**
- **Performance Reports on a semi-annual basis**
  - **Narrative progress report**
  - **Financial report using SF-269A**
  - **Logic Model**

ROSS FY03 Program Requirements

- Final report due to field 90 days after termination of grant agreement
- Final audit – due to the field office within 60 days of issuance

ROSS FY03
Threshold Requirements

- **1. Match – 25% of requested grant amount**
  - **Match must be "<u>firmly committed</u>"**
  - **Memoranda of Understanding (MOUs)/Letters of commitment must be *signed* by people authorized to commit resources on behalf of the organization making the match**

ROSS FY03 Threshold Requirements

- **1. Match – 25% of requested grant amount**
  - **MOUs/Letters of commitment must indicate the** *value* **of the commitment and should be for the duration of the grant**

ROSS FY03 Threshold Requirements

- MOUs/Letters of Commitment must be dated within two months of the application deadline and be on organization letterhead

- If applicants will be contributing to the match they must also submit a signed letter of commitment

ROSS FY03
Threshold Requirements

– **If you are applying for more than one ROSS grant you must have different sources of match donations for each grant application**

ROSS FY03 Threshold Requirements

- **2. Past Performance**
  - **Field offices will be evaluating applications for**
    - Past success in obtaining measurable outcomes
    - Benefits accrued to residents
    - Timely expenditure of funds
    - Leveraging of funding or in-kind services
    - Long-term partnerships formed

ROSS FY03
Threshold Requirements

- **Past Performance (continued)**
  - Program administration; and
  - Fiscal management

- **3. A signed Partnership Agreement with a Contract Administrator if you are:**
  - A troubled PHA
  - A nonprofit applicant

ROSS FY03 Threshold Requirements

- **4. Nonprofit applicants must include letters from Resident Organizations (ROs) they will be working with indicating that they support your application.**

ROSS FY03
Application Selection Process

- **Four types of reviews:**
  - Eligibility screening;
  - Whether the application is complete, on time and meets threshold;
  - Field office review for past performance;
  - Technical review
- **Applications must receive a minimum score of 75 to be considered for funding**
- **Geographic diversity**

ROSS FY03 Rating Factors

- **Rating Factor 1: Capacity of the Applicant and Relevant Organizational Staff (20 points)**
  - Proposed program staffing
    - Staff experience
    - Staff capacity
  - Past Performance
  - Program Administration and Fiscal Management

ROSS FY03 Rating Factors

- **Rating Factor 2: Need/Extent of the Problem (20 points)**
  - Socioeconomic profile
  - Local training program information
  - Resource documentation
  - Demonstrated link between proposed activities and local need

ROSS FY03 Rating Factors

- **Rating Factor 3: Soundness of Approach (30 points)**
  - Quality of the Work Plan and Supporting Narrative
    - Specific services and/or activities
    - Feasibility and demonstrable benefits
    - Timeliness
    - Description of the problem and solution

ROSS FY03 Rating Factors

- **Rating Factor 3 (continued)**
  - Budget Appropriateness/Efficient Use of Grant
  - Involving Residents in the design of the work plan

ROSS FY03 Rating Factors

- **Addressing HUD's Policy Priorities**
  - Improving the quality of life in our Nation's communities (RSDM-Elderly and RSDM-Family only)
  - Providing increased homeownership and rental opportunities for low- and moderate-income persons, persons with disabilities, the elderly, minorities and families with limited English proficiency (HSS only)

ROSS FY03 Rating Factors

- **Addressing HUD's Policy Priorities**
  - **Providing full and equal access to grassroots faith-based and other community-based organizations (all categories)**

ROSS FY03 Rating Factors

- **Rating Factor 4: Leveraging Resources (20 points)**
  - **In rating this factor, HUD will look at the *extent* to which you partner, coordinate and leverage your services with other organizations serving the same or similar populations.**

ROSS FY03 Rating Factors

- **Rating Factor 4: Leveraging Resources (20 points)**
  - You must have a 25% match at a minimum.
  - Leveraging over 25% will earn more points

ROSS FY03
Rating Factors

- **Rating Factor 5: Achieving Results and Program Evaluation (10 points)**
  - Your work plan and narrative must explain how you will measure actual accomplishments against anticipated achievements.
  - Applicants must submit a completed Logic Model.

ROSS FY03 Rating Factors

- **Rating Factor 5 (continued)**
  - Applicants' work plan and supporting narrative must explain the following:
    - Outputs (benchmarks)
    - Outcomes (goals you want to achieve)
    - Timeline for achieving your outputs and outcomes.

QUESTIONS & ANSWERS